## UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

LARRY FREUDENBERG, Individually, and On Behalf Of All Others Similarly Situated

07 Civ. 8538 (RWS) Judge Robert W. Sweet

v.

:

E\*TRADE FINANCIAL CORPORATION, MITCHELL H. CAPLAN, AND ROBERT J. SIMMONS

:

[Captions continued on the following page]

## **RULE 7.1 DISCLOSURE STATEMENT**

GRANT & EISENHOFER P.A. Jay W. Eisenhofer (JE-5503) 485 Lexington Avenue 29<sup>th</sup> Floor New York, New York 10017

Tel: (646) 722-8500 Fax: (646) 722-8501

- and -

GRANT & EISENHOFER P.A. Geoffrey C. Jarvis (GJ-7040) Chase Manhattan Centre 1201 N. Market Street Wilmington, Delaware 19801 Tel: (302) 622-7000

Tel: (302) 622-7000 Fax: (302) 622-7100 SCHIFFRIN BARROWAY TOPAZ & KESSLER, LLP
Richard S. Schiffrin
Sean M. Handler
Darren J. Check
280 King of Prussia Road
Radnor, Pennsylvania 19087

Tel: (610) 667-7706 Fax: (610) 667-7056

Counsel for the Institutional Investor Group and Proposed Lead Counsel for the Class

WILLIAM BOSTON, Individually, and	:
On Behalf Of All Others Similarly Situated	: 07 Civ. 8808 (RWS)
·	: Judge Robert W. Sweet
V.	:
	•
E*TRADE FINANCIAL CORPORATION,	· ·
MITCHELL H. CAPLAN, AND	•
ROBERT J. SIMMONS	•
ROBERT J. SIMIMONS	•
	;
ROBERT D. THULMAN, Individually, and	:
On Behalf Of All Others Similarly Situated	: 07 Civ. 9651 (RWS)
	: Judge Robert W. Sweet
V.	:
	:
E*TRADE FINANCIAL CORPORATION,	:
MITCHELL H. CAPLAN, AND	:
ROBERT J. SIMMONS	:
	:
WENDY M. DAVIDSON, Individually, and	<del></del> ;
On Behalf Of All Others Similarly Situated	: 07 Civ. 10400 (UA)
on Behan of the others similarly situated	: Judge Unassigned
**	. Judge Ollassighed
V.	•
EWED A DE EDIANGIA I GODDOD ATTON	:
E*TRADE FINANCIAL CORPORATION,	:
MITCHELL H. CAPLAN, AND	:

JOSHUA FERENC, Individually, and On Behalf Of All Others Similarly Situated

v.

ROBERT J. SIMMONS

E\*TRADE FINANCIAL CORPORATION, MITCHELL H. CAPLAN, AND ROBERT J. SIMMONS 07 Civ. 10540 (SHS) Judge Sidney H. Stein

Pursuant to Federal Rule of Civil Procedure 7.1, and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for AFA Livförsäkringsaktiebolag; AFA Sjukförsäkringsaktiebolag, AFA Trygghetsförsäkringsaktiebolag, and Kollektivavtalsstiftelsen Trygghetsfonden TSL

(hereinafter, "AFA Insurance"), a private-non governmental party, certifies on information and belief that (1) AFA Insurance has no parent corporation; and (2) there are no publicly held corporations that own 10% or more of its stock.

The undersigned, counsel of record for AFA Insurance certifies that they are not aware of any interested parties other than those participating in this case.

Dated: December 3, 2007 New York, New York

Respectfully submitted,

/s Geoffrey C. Jarvis

Jay W. Eisenhofer (JW-5503) GRANT & EISENHOFER P.A. 485 Lexington Avenue 29<sup>th</sup> Floor

New York, New York 10017

Tel: (646) 722-8500 (646) 722-8501 Fax:

- and -

Geoffrey C. Jarvis (GJ-7040) GRANT & EISENHOFER P.A. Chase Manhattan Centre 1201 N. Market Street Wilmington, Delaware 19801

(302) 622-7000 Tel: Fax: (302) 622-7100

SCHIFFRIN BARROWAY TOPAZ & KESSLER, LLP Richard S. Schiffrin Sean M. Handler Darren J. Check 280 King of Prussia Rd. Radnor, PA 19087

Telephone: (610) 667-7706 Facsimile: (610) 667-7056

Counsel for the Institutional Investor Group and Proposed Lead Counsel for the Class